

New polyketide and chromene derivative isolated from an endophytic *Diaporthe Phaseolorum* associated with *Polygonatum cyrtonema* Hua

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Abstract: Eight compounds were isolated from the ethyl acetate extract of the *Diaporthe phaseolorum* fermentation products, comprising five polyketide derivatives (1–5), one chromene (6), one chromone (7), and one tetralone (8). Among these, compounds 1 and 6 were identified as previously undescribed. Notably, compound 6 features a unique C12 chromone skeleton, representing only the second reported example of such a framework. The structures and spatial configurations of all compounds were elucidated through comprehensive analysis of HR-MS, NMR, and quantum chemical calculations supported by DP4+ analysis. In vitro anti-inflammatory activity screening revealed that none of the isolated compounds (1–8) inhibited nitric oxide (NO) production in mononuclear macrophages.

Keywords: *Diaporthe phaseolorum*, *Polygonatum cyrtonema* Hua, polyketide, chromene

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1 Fungal Source

The endophytic fungus was isolated from tubers of *Polygonatum cyrtonema* Hua. The plant material was collected in Huangshan City, Anhui Province, China, in July 2019 and taxonomically authenticated by Prof. Dongmei Xie (Anhui University of Chinese Medicine). Based on morphological characteristics, the fungal strain was preliminarily identified as *Diaporthe phaseolorum*. Molecular identification, based on analysis of the rDNA ITS region, confirmed this classification, and the corresponding nucleotide sequence was deposited in the GenBank database under accession number MN650843.1. A working stock of the axenic culture was preserved in 25% (v/v) glycerol at –80°C and deposited at the School of Pharmacy, Anhui University of Chinese Medicine.

2 Previous Studies

Polygonatum cyrtonema Hua is a perennial herb of the genus *Polygonatum* (Liliaceae family). Its rhizome serves as the primary source of the traditional Chinese medicine “Huangjing,” which is listed in the Chinese Pharmacopoeia

as a medicinal and edible plant with tonic properties (Zhao et al., 2023). Modern research has demonstrated that *P. cyrtonema* is rich in diverse bioactive constituents, including polysaccharides, saponins, flavonoids, alkaloids, and amino acids. These compounds contribute to a broad spectrum of pharmacological activities, such as hypoglycemic and hypolipidemic, antioxidant, immunomodulatory, antibacterial, antiviral, antitumor, and neuroprotective effects. Consequently, *P. cyrtonema* has been investigated for its potential in managing conditions like atherosclerosis, skin diseases, diabetes, and hypertension (Zhou et al., 2025; Lu et al., 2023; Pan et al., 2024).

Plant endophytic fungi have garnered considerable research interest owing to their unique ecological functions and their potential to yield novel bioactive compounds (Tiwari & Bae, 2022; Huang & Zhao, 2023). The genus *Diaporthe*, which is commonly found in medicinal plants, is a prolific producer of diverse secondary metabolites exhibiting a range of biological activities, including antibacterial and antitumor effects (Guo et al., 2019; Feng et al., 2025; Cui et al., 2017). To explore this potential further, we examined the chemical constituents of *Diaporthe phaseolorum*, an endophytic fungus isolated from *P. cyrtonema*. Chemical examination of its rice fermentation extract resulted in the isolation of eight compounds

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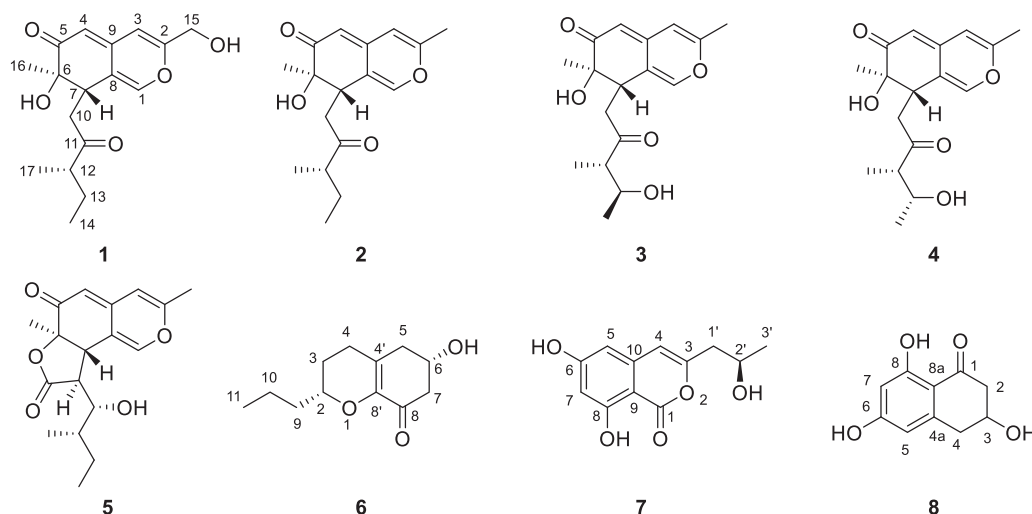


Figure 1. Structures of compounds 1–8

(Figure 1), the anti-inflammatory activities of which were subsequently evaluated.

3 Present Study

Diaporthe phaseolorum was inoculated onto autoclaved rice medium (150 g rice, 90 mL water, 121°C) and cultured statically at 28°C in the dark for 25 days. The fermented material was homogenized and then exhaustively extracted with ethyl acetate (3–5 times, equal volume each). The combined ethyl acetate extracts were concentrated under reduced pressure, yielding 224.8 g of crude extract. This crude extract was fractionated by silica gel column chromatography (200–300 mesh) using a stepwise gradient of ethyl acetate/petroleum ether (100:0 → 0:1, v/v) to afford ten fractions (A–J).

Fraction D was fractionated on an ODS column using a CH₃OH–H₂O gradient (30:70 → 100:0, v/v) to afford 14 subfractions (Da–Dn). Subfraction Df was initially purified by Sephadex LH-20 (CH₃OH), followed by semi-preparative HPLC (CH₃CN–H₂O, 53:47, v/v), yielding **2** (4.9 mg, *t_R* = 23 min) and **5** (47.0 mg, *t_R* = 31 min). Fraction G was subjected to ODS column chromatography with a CH₃OH–H₂O gradient (40:60 → 100:0, v/v), providing eleven subfractions (Ga–Gk). Compound **7** (9.6 mg, *t_R* = 17 min) was obtained from subfraction Gc via semi-preparative HPLC (CH₃OH–H₂O, 56:44, v/v). Separation of Fraction H on an ODS column (CH₃OH–H₂O, 30:70 → 100:0, v/v) provided subfractions Ha–Hn. Subfraction Hd was purified sequentially by Sephadex LH-20 (CH₃OH) and semi-preparative HPLC (CH₃CN–H₂O, 40:60, v/v) to yield **8** (5.7 mg, *t_R* = 18 min), **3** (22.9 mg, *t_R* = 22 min), **4** (45.4 mg, *t_R* = 23 min), and **6** (4.6 mg, *t_R* = 32 min). Similarly, purification of subfraction Hg by semi-preparative HPLC (CH₃CN–H₂O, 27:73, v/v) afforded **1** (3.1 mg, *t_R* = 36 min).

15-Hydroxy-chermesinones A (1): yellow oil; $[\alpha]_D^{20.0} = +256.0$ (CH₃OH, 0.1); UV (CH₃OH) $\lambda_{\max}$ (log ϵ) 200 (4.42), 348 (4.84) nM; ECD (CH₃OH), $\lambda_{\max}$ ($\Delta\epsilon$) 246 (–17.84), 320

(+24.71), 366 (+21.68) nM; ¹H and ¹³C NMR see Table 1; HR-ESI-MS *m/z*: 307.1530 [M+H]⁺ (C₁₇H₂₃O₅, calcd. for 307.1540).

6S-Hydroxy-2R-phomochromene (6): yellow oil; $[\alpha]_D^{20.0} = +86.3$ (CH₃OH, 0.1); UV (CH₃OH) $\lambda_{\max}$ (log ϵ) 200 (4.19), 273 (4.40) nM; ECD (CH₃OH) $\lambda_{\max}$ ($\Delta\epsilon$) 271 (+54.12), 308 (–33.70) nM; ¹H and ¹³C NMR see Table 2; HR-ESI-MS *m/z*: 233.1148 [M+Na]⁺ (C₁₂H₁₈O₃Na, calcd. for 233.1148).

Compound **1** was obtained as a yellow oil. Its high-resolution electrospray ionization mass spectrometry (HR-ESI-MS) data exhibited a quasi-molecular ion peak at *m/z* 307.1530 [M + H]⁺ (calcd for C₁₇H₂₃O₅, 307.1540), corresponding to the molecular formula C₁₇H₂₂O₅ with seven degrees of unsaturation. The ¹H NMR spectrum revealed signals for three olefinic protons (δ_H 6.81, 1H, s; 6.25, 1H, s; 5.47, 1H, s), an oxygenated methylene group (δ_H 4.34, 2H, s), and three methyl groups (δ_H 1.15, 3H, d, *J* = 6.8 Hz; 1.09, 3H, s; 0.89, 3H, t, *J* = 7.3 Hz). The ¹³C NMR spectrum displayed 17 carbon signals, including two carbonyls (δ_C 213.3, 199.5), six olefinic carbons (δ_C 159.4, 146.9, 144.5, 120.9, 106.2, 105.8), and two oxygenated carbons (δ_C 73.2, 61.3).

Careful analysis of the 1D NMR data for compound **1** (Table 1), in conjunction with HSQC, ¹H–¹H COSY, and HMBC spectra, indicated its structure is closely related to that of the known compound chermesinone A (**2**) (Huang et al., 2011). Comparison of the NMR data revealed the ¹³C NMR spectrum of **1** contained an additional oxygenated carbon signal (δ_C 61.3), while the ¹H NMR spectrum lacked one methyl signal. In the HMBC spectrum, the oxygenated methylene protons (δ_H 4.34) correlated with C-2 (δ_C 159.4) and C-3 (δ_C 106.2) (Figure 2), suggesting that the C-15 methyl group in **2** is replaced by a hydroxymethyl group in **1**. Combined with the molecular weight established by HR-ESI-MS, this evidence allowed the planar structure of **1** to be elucidated, as depicted in Figure 1.

The relative configuration of compound **1** was assigned based on ROESY correlations observed between H-10 α (δ_H

Table 1. ^1H NMR (600 MHz) and ^{13}C NMR (150 MHz) data of **1** and **2** (CDCl_3 , J in Hz)

No	1		2	
	δ_{C}	δ_{H} (J in Hz)	δ_{C}	δ_{H} (J in Hz)
1	144.5	6.81, s	144.9	6.81, s
2	159.4	–	158.5	–
3	106.2	6.25, s	107.2	5.97, s
4	105.8	5.47, s	104.0	5.39, s
5	199.5	–	199.3	–
6	73.2	–	73.1	–
7	40.5	3.28, d (9.4)	40.4	3.26, dt (9.9, 2.2)
8	120.9	–	120.5	–
9	146.9	–	147.9	–
10 α	37.6	3.22, d (17.6)	37.7	3.21, dd (17.6, 2.0)
10 β		2.75, dd (17.6, 9.8)		2.74, dd (17.6, 9.9)
11	213.3	–	213.4	–
12	47.9	2.61, m	47.9	2.61, m
13a	26.0	1.73, m	26.0	1.73, dt (14.1, 7.1)
13b		1.42, m		1.42, dt (14.3, 7.2)
14	11.8	0.89, t (7.3)	11.8	0.89, t (7.4)
15	61.3	4.34, s	19.5	2.12, s
16	21.3	1.09, s	21.4	1.08, s
17	16.5	1.15, d (6.8)	16.5	1.15, d (7.0)

Table 2. ^1H NMR (600 MHz) and ^{13}C NMR (150 MHz) data of compound **6** and phomochromene in CDCl_3

No	6		Phomochromene	
	δ_{C}	δ_{C} (J in Hz)	δ_{C}	δ_{C} (J in Hz)
2	75.6	3.76, m	75.3	3.78, m
3 α	26.8	1.62, m	26.7	1.88, m
3 β		2.28, m		1.59, m
4 α	26.9	2.15, dd (19.6, 5.1)	26.6	2.23, m
4 β		1.91, m		2.10, m
4'	127.4	–	131.3	–
5 α	38.7	2.39, dd (16.8, 8.4)	29.7	2.29, t (5.6)
5 β		2.61, dd (16.8, 3.7)		
6	66.7	4.22, m	22.2	1.94, m
7 α	47.4	2.54, dd (16.0, 10.4)	38.3	2.56, t (5.9)
7 β		2.80, dd (16.0, 3.4)		
8	191.2	–	193.4	–
8'	146.2	–	145.7	–
9a	37.0	1.77, m	36.8	1.74, m
9b		1.54, m		1.50, m
10a	18.6	1.42, m	18.6	1.43, m
10b		1.50, m		
11	14.2	0.93, t (7.2)	14.1	0.93, t (7.3)

3.22) and H-16 (δ_{H} 1.09) and between H-10 β (δ_{H} 2.75) and H-7 (δ_{H} 3.28)/H-1 (δ_{H} 6.81), in conjunction with the close similarity of its NMR data to those of compound **2**. The absolute configuration was subsequently determined as 6R, 7S, 12S by comparing the experimental ECD spectrum of **1** with that of **2** (Figure 3). Accordingly, the structure of

compound **1** was elucidated and designated as 15-hydroxy-chermesinone A.

Compound **6** was obtained as a yellow oil. Its molecular formula was determined as $\text{C}_{12}\text{H}_{18}\text{O}_3$ by HR-ESI-MS (quasi-molecular ion peak at m/z 233.1148 [$\text{M} + \text{Na}$] $^+$, calcd 233.1148), corresponding to four degrees of unsaturation. The

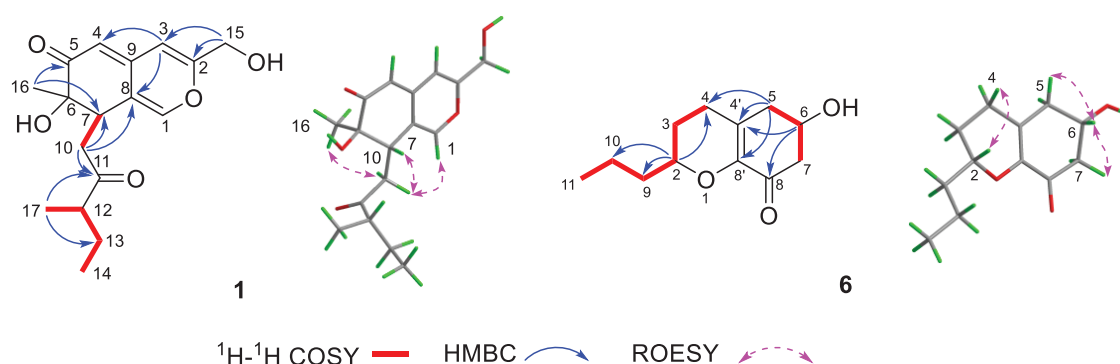


Figure 2. Key 2D NMR correlations of compounds **1** and **6**

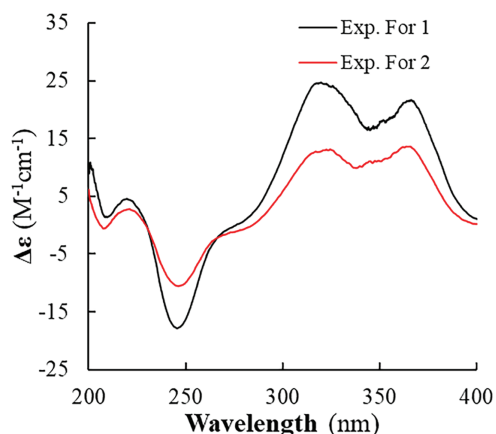


Figure 3. Experimental ECD spectra of compounds **1** and **2**

^1H NMR spectrum displayed signal overlap in the high-field region, including a methyl triplet (δ_{H} 0.93, 3H, t, $J = 7.2$ Hz). The ^{13}C NMR spectrum exhibited 12 carbon signals, featuring a carbonyl (δ_{C} 191.2), two olefinic carbons (δ_{C} 146.2, 127.4), and two oxygenated carbons (δ_{C} 75.6, 66.7) in the low-field region.

Comparison of the NMR data indicated that compound **6** closely resembles the known compound phomochromene (Yang et al., 2015) (Table 2). A key distinguishing feature was the significant downfield shift observed for C-6 in **6** (δ_{C} 66.7, $\Delta\delta +44.5$). Analysis of the ^1H - ^1H COSY spectrum revealed two spin-spin coupling fragments: fragment **a** [H-5 (δ_{H} 2.39, 2.61)/H-6 (δ_{H} 4.22)/H-7 (δ_{H} 2.54, 2.80)] and fragment **b** [H-4 (δ_{H} 2.15, 1.91)/H-3 (δ_{H} 1.62, 2.28)/H-2 (δ_{H} 3.76)/H-9 (δ_{H} 1.77, 1.54)/H-10 (δ_{H} 1.42, 1.50)/H-11 (δ_{H} 0.93)]. Furthermore, HMBC correlations from H-6 (δ_{H} 4.22) to C-8 (δ_{C} 191.2) and C-4' (δ_{C} 127.4) confirmed oxygen substitution at C-6. In conjunction with the molecular formula established by HR-ESI-MS, these data led to the identification of compound **6** as 6-hydroxyphomochromene.

Compound **6** contains two chiral centers (C-2 and C-6). While ROESY correlations were observed between H-2 (δ_{H} 3.76) and H-4 β (δ_{H} 1.91) and between H-6 (δ_{H} 4.22) and both H-5 β (δ_{H} 2.61) and H-7 β (δ_{H} 2.80), these data alone were insufficient to assign the relative configuration unambiguously. Therefore, density functional theory

(DFT) calculations were conducted for the two possible diastereomers (2S*, 6S*-**6** and 2R*, 6S*-**6**). Subsequent linear fitting and DP4+ probability analysis of the calculated versus experimental NMR data afforded a 100% probability for the 2R*, 6S* configuration (Figure 4A). Finally, comparison of the experimental ECD spectrum with the calculated ECD curves (Figure 4B) established the absolute configuration as 2R, 6S. Based on this elucidation, the compound is designated as 6S-hydroxy-2R-phomochromene, thereby establishing compound **6** as the first chromene of this type with an assigned stereoconfiguration.

Compound **6** possesses a unique C12 chromene skeleton, which has been reported only once previously among the fermentation products of *Phomopsis* sp. (Yang et al., 2015) Regarding its biosynthesis (Scheme S1), compound **6**, like typical polyketides, is derived from the acetate-malonate pathway. Heptanoyl-CoA and three malonyl-CoA units are condensed by a tetraketide synthase (TKS) to form a linear hexyltetra- β -ketide intermediate (C13). This intermediate is then cyclized by olivetolic acid cyclase (OAC) to yield the resorcylic acid scaffold (I) (Lee et al., 2022). Intermediate I subsequently undergoes decarboxylation, oxidation, and cyclization to afford the benzothiophene framework (IV). Finally, the aromatic ring is converted into compound **6** through keto-enol tautomerization and reductive hydrogenation.

Additionally, six known compounds were isolated and identified as chermesinone A(2) (Huang et al., 2011), 13S-hydroxy-chermesinone A(3) (Zhang et al., 2022), 13R-hydroxy-chermesinone A(4) (Zhang et al., 2022), 11-hydroxy-chermesinone B(5) (Hu et al., 2021), de-O-methyladiaphorin (7) (Xiao et al., 2022), scytalone (8) (Li et al., 2012).

In this study, all compounds (**1**–**8**) were evaluated for their ability to inhibit NO production in LPS-stimulated RAW264.7 macrophages. At a concentration of 50 μM , none of the compounds exhibited significant anti-inflammatory activity, showing inhibition rates ranging from 5.36% to 20.54%. In comparison, the positive control, L-NMMA, demonstrated a 52.62% inhibition rate under the same conditions.

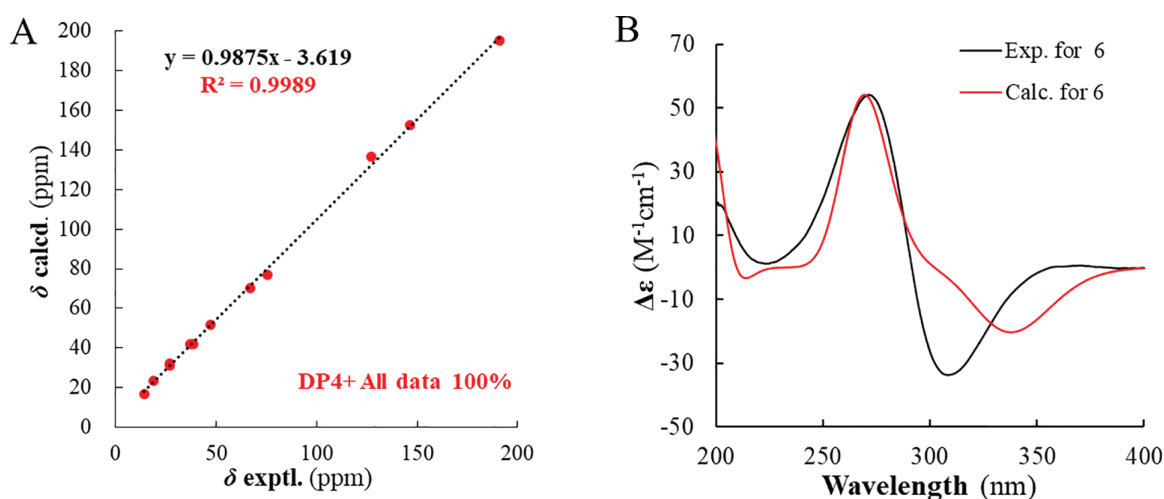


Figure 4. Linear relationship between experimental and calculated carbon spectra of compound **6** (A), curve between experimental and calculated ECD (B)

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Author Contributions

Ling-Ling Gan: Formal analysis, Investigation, and Writing original manuscript; Si-Rui Wang: Methodology, Investigation, and Data Curation; Guo-Kai Wang: Funding, and Supervision; Xun-Cui Wang: Methodology and Formal analysis; Yun-Peng Sun: Writing-review & editing, Funding, and Supervision.

Availability of Data and Materials

The authors declare that the data supporting the findings of this study are available within the paper and its Supplementary Information files. Should any raw data files be needed in another format they are available from the corresponding author upon reasonable request. Source data are provided with this paper.

Conflicts of Interest

The authors declare that they have no competing financial interests.

Supporting Information

Supporting Information accompanies this paper on <http://www.acgpubs.org/journal/records-of-natural-products>.

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